**SEATTLE HOUSING AUTHORITY**

**SUSPENSION AND DEBARMENT COMPLIANCE CERTIFICATE FOR CONTRACTOR**

By signing below, the Contractor certifies that to the best of its knowledge and belief neither its firm nor any of its principals as named below are presently debarred, suspended, or have been declared ineligible or are excluded from participation in this transaction by any federal, state or local government.

**Contractor’s Firm Name:**

**Address:**

**City, State, Zip:**

|  |  |  |
| --- | --- | --- |
|  | **PRINCIPAL(S) Name(s)** | **Title(s)** |
| 1 |       |       |
| 2 |       |       |
| 3 |       |       |
| 4 |       |       |
| 5 |       |       |

|  |  |  |  |
| --- | --- | --- | --- |
| **Contractor’s Signature** | **Printed Name** | **Title** | **Date** |
|  |       |       |       |

NOTE: This requirement applies to the Contractor’s firm as well as its principals. Principal is defined in the regulation (2 CFR 180.995) as follows:

1. An officer, director, owner, partner, principal investigator, or other person within a participant with management or supervisory responsibilities related to a covered transaction; or
2. A contractor or other person, whether or not employed by the participant or paid with Federal funds, who-
3. Is in a position to handle Federal funds;
4. Is in a position to influence or control the use of those funds; or,
5. Occupies a technical or professional position capable of substantially influencing the development or outcome of an activity require to perform the covered transaction.

The federal websites to verify eligibility include: <https://www.sam.gov/portal/public/SAM/> and

<http://portal.hud.gov/hudportal/HUD?src=/topics/limited_denials_of_participation>.

*[Add this section if Suspension and Debarment Compliance Certificate for the Sub-Contractors or Sub-Consultants is needed. DO NOT forget to fill in your contact information below]*

**SEATTLE HOUSING AUTHORITY**

**SUSPENSION AND DEBARMENT COMPLIANCE CERTIFICATE FOR SUB-CONTRACTORS**

*The Prime Contractor may use this form if the Prime can verify that their Sub-Contractors named below, nor any of their principals are debarred, suspended or ineligible from involvement by Federal, State or Local Government. If the Prime is unable to verify this information, the Prime must send the previous SUSPENSION AND DEBARMENT COMPLIANCE CERTIFICATE FOR CONTRACTOR form to each sub-* *contractor to be completed and returned.*

**Prime Contractor’s Name:**       certifies that neither any of the sub-contracting firms named below, nor any of its principals are debarred, suspended or ineligible from involvement by Federal, State or Local Government. I understand that the Seattle Housing Authority (SHA) relies on this certification and I understand that I am obligated to submit the following to SHA:

* A certification for any new sub- contractor hired after submission of this certification.
* A renewal certification for every sub- contractor on the anniversary of the Contract execution date if the Contract Time extends beyond one year.

(**Note:** In lieu of this certification, the Prime Contractor may elect to submit a separate certification signed by each sub- contracting firm to SHA as evidence of sub- contractor eligibility. It is the Prime Contractor’s responsibility to initiate, obtain, and provide all such individual sub- contractor certifications to SHA.)

|  |  |  |  |
| --- | --- | --- | --- |
| **Prime Contractor’s Signature** | **Printed Name** | **Title** | **Date** |
|  |       |       |       |

**Sub- Contractor Firm Listing: (**If sub- contractors are not involved in the project, please enter NONE.**)**

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If additional pages are necessary, copy this form to ensure signed statement precedes any listing of sub- contractors.

Please contact \_\_\_\_Veronica Sharp\_\_\_\_\_\_\_\_\_ at 206-615-3313 or by e-mail at veronica.sharp@seattlehousing.org if you have any questions regarding compliance with this requirement.